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## **Toward Content Based Image Retrieval with Deep Convolutional Neural Networks**

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#### **Abstract**

Content-based image retrieval (CBIR) offers the potential to identify similar case histories, understand rare disorders, and eventually, improve patient care. Recent advances in database capacity, algorithm efficiency, and deep Convolutional Neural Networks (dCNN), a machine learning technique, have enabled great CBIR success for general photographic images. Here, we investigate applying the leading ImageNet CBIR technique to clinically acquired medical images captured by the Vanderbilt Medical Center. Briefly, we (1) constructed a dCNN with four hidden layers, reducing dimensionality of an input scaled to 128×128 to an output encoded layer of 4×384, (2) trained the network using back-propagation 1 million random magnetic resonance (MR) and computed tomography (CT) images, (3) labeled an independent set of 2100 images, and (4) evaluated classifiers on the projection of the labeled images into manifold space. Quantitative results were disappointing (averaging a true positive rate of only 20%); however, the data suggest that improvements would be possible with more evenly distributed sampling across labels and potential re-grouping of label structures. This prelimainry effort at automated classification of medical images with ImageNet is promising, but shows that more work is needed beyond direct adaptation of existing techniques.

#### **Keywords**

deep convolutional neural networks; content based image retrieval; medical images; unsupervised learning

### **1. INTRODUCTION**

Over the last 18 months, the Vanderbilt Medical Center has compiled over 100 million anonymized medical images. If this database could be mined with a Content Based Image Retrieval System (CBIR), researchers and clinicians could retrieve images visually similar to those of their patients, allowing for a comparison of patient histories and treatment profiles, eventually leading to improved patient care.

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Although CBIR is not a new idea for the medical field, recent efforts have not been particularly effective [1–3]. Broadly speaking, there are two perpetual challenges for computer vision: the sensory gap and the semantic gap.[3] The sensory gap refers to the fundamental limitations of imaging the physical world with a limited capture device. The semantic gap arises between the captured pixels and the objects that humans recognize and name within the image. The goal of CBIR is to bridge the semantic gap and enable the computer to extrapolate high order information of the image's subject.[4] CBIR garnered a lot of attention in the mid-1990s. At that time, however, the field suffered from slow database management. [1] Since then database management efficiency has vastly improved, allowing for implementation on image sets in the millions. In 2012, at the ImageNet competition, the group from the University of Toronto used a Deep Convolutional Neural Network (dCNN) trained on over 1 million images to achieve results far better than the previous state of the art. [5] The goal of the manuscript is to evaluate the ImageNet framework on unsupervised feature learning and subsequent classification on clinically acquired magnetic resonance (MR) and computed tomography (CT) images.

#### **2. METHODOLOGY**

Two datasets were randomly selected from the previous 9 months of the Vanderbilt Medical Center's anonymized image archive of all MR and CT images acquired for clinical care. First 1 million image slices were selected at random from a library of ~50 million images. Second, a non-overlapping random sample of 2,100 images was retrieved. The images were normalized (Windsorized to the  $5<sup>th</sup>$  and  $95<sup>th</sup>$  percentile) and scaled using bicubic interpolation to 128×128 pixels.

#### **2.1 Data labeling**

In collaboration with a radiologist, the anatomy was divided for classification into 16 nonmutually exclusive subfields representing the human anatomy (Figure 1). A trained undergraduate rater then labeled the 2100 single slice images with a boolean for each of the 16 labels.

#### **2.2 Manifold training**

Using the 1 million unlabeled images, a manifold was trained with a series of autoencoders of increasing size (pylearn2 [10]) as illustrated in Figure 2. Each layer consisted of a square patch of the image and the corresponding channels of the image. The autoencoder was trained through back-propagation to minimize the mean square reconstruction (eq. 1) error between the input image patch and the learned image patch

$$
MSE = \frac{1}{2} \sum_{i=1}^{P} (x_i - \hat{x}_i)^2
$$
 (1)

where  $x_i$  is the input intensity value,  $x_i$  is the reconstructed intensity value, and P is the total number of points in the input. The first layer consisted of patches of size  $13\times13\times1$  with an autoencoder layer of size 36, the second was  $5 \times 5 \times 36$  with a hidden layer of size 96, the third was  $5\times5\times96$  with a hidden layer of size 256, the fourth and final was  $3\times3\times256$  with a hidden

layer of size 384. To be clear, the first two dimensions describe the patch shape, and the third dimension describes the number of neurons in the subsequent hidden layer. Each layer of the manifold was trained with an independent set of 200,000 images with a total of 2,000,000 patches extracted from the images. Each layer was trained for 500 epochs.

#### **2.3 Classifier training**

First, multi-layer perceptrons (MLP) were trained to predict all 16 of label variables in binary classification problems (pylearn2 [10]). For each individual label 'a', a 10-fold cross validation was performed on a classifier that decided 'a' or '~a' for all samples in the 2100 image labeled set. As a secondary analysis, a reduced labeling scheme was created so that every image was a member of one and only one of the following five classes: {Head, Thorax, Abdomen, Pelvis, Legs}. This resulted in two reduced datasets: 'Axial Images Only' (350 total images, 70 per class), and 'All Images' (500 total images, 100 per class).

Each of the five group classification tasks were evaluated with Fisher's linear discriminant analysis (FLDA), a support vector machine (SVM) with a radial basis kernel, and a random forest (RF) classier (Sci-kit Learn machine learning library [11]) The FLDA classifier was initialized without bound on the number of estimators. The SVM classifier had a kernel function of degree 3, a penalty of 1 for the error term, and a termination criterion tolerance of 0.001. The RF classifier had a bound of 100 estimators, determined quality of split on the Gini impurity, had no limit to depth, and used bootstrap samples to build the trees.

#### **3. RESULTS AND DISCUSSION**

Despite the promise of initial theory, overall results were disappointing. As can be seen in Figure 3, only 3 of the original 16 labels achieved a true positive rate greater than 50%. As can be seen in Figure 1, however, the distribution among labels is uneven, ranging from 80 to 1123 examples for a given label. This disparity might have had detrimental effects on the classifiers. Examining the two labels with the most samples (Axial Plane, Brain), we see that they are the top performing classes, with recall  $> 65\%$  and precision  $> 85\%$ . Figure 4 provides examples of each of three cases of main interest (false-positive, false-negative, true-positive) for each label that achieved observable results. Note that the false-positive for 'Neck' is N/A because there were no false positives for this label. It is also worth noting that the three most successfully classified structures (Brain, Thigh, and Axial Plane) have definite texture features. The brain has many curved lines, the thighs contain two grayish structure separated by black space whereas most contain only one, and axial scans are generally ovular and often contain an outline of the scanning table. It is possible that these obvious visual signifiers were also factors in the success of these three classifiers. Note especially that Thigh, despite its small number of samples (90) was able to achieve success comparable to Brain  $(675)$  and Axial Plane $(1123)$ , seeming to point toward unique texture as a factor of success. See Figure 1 for further comparison of class distribution.

By exploring the performance of alternative classifiers on the reduced datasets (Figure 5) we can infer likely performance of the complete system given more data. Results become volatile with a training set of size less than 10. However, as size of the training set increases, we see a dramatic increase in classifier performance. This shows that it is quite possible that

given a greater number of labeled images, a classifier of might perform better. Note the substantively lower recall scores for the set of All Images versus Axial Only. The poor performance here could be the result of poor definition of classes, causing error in their reduction from a non-mutually exclusive label set to the reduced independent label set. Ensuring greater homogeneity of classes through synchronizing meta-data could help improve the label set. For example, one could possibly implement a hierarchical ontology.

#### **4. CONCLUSION**

Unlike the general photographs used in the ImageNet competition, there is very little variance of context for the subjects of medical images. All images are roughly gray ovals appearing on a black background. This is why the prevalent CBIR systems for medical images involved a coding system of metadata that must be input manually. [6] Unlike ImageNet, these systems have been limited in scale to a database size in the thousands or tens of thousands. [7, 8] This tight bound on scope necessitated the combination of many techniques, often resulting in a complex framework. In dealing with clinically acquired images, some pre-regularization steps are often necessary to account for translations or rotations of regions on interest within the image. Towards simplifying this process, the use of a dCNN is promising because it is an unsupervised machine learning technique specifically designed to address these issues. [9] Future efforts at CBIR can make greater use of the existing automatically coded information in radiological databases.

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#### Pelvis (249) Liver (177) Abdomen (332) Pelvic Girdle (124) Thigh (90) Axial Plane (1123) Sagittal Plane (296) Coronal Plane

#### **Figure 1.**

Illustration of the 16 non-mutually exclusive labels on normalized and resampled images. Name (#occurences)



#### **Figure 2.**

Flowchart of the ImageNet approach applied the the anonylized imaging data from clinical radiology.







#### **Figure 4.**

Representative examples of classifer failures for the 10 labels with non-null (true positive>0) classifiers.





Results of image classification on the reduced label sets.